

## Item 1 – Cover Page

### Part 2B of Form ADV: *Brochure Supplement*



John Robert LaBriola

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This brochure supplement provides information about John Robert LaBriola that supplements the Laguna Wealth Advisors, LLC brochure. You should have received a copy of that brochure. Please contact John LaBriola if you did not receive Laguna Wealth Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. LaBriola is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD# 2308699.

## Item 2 - Educational, Background and Business Experience

### John Robert LaBriola

Born: 1956

#### EDUCATION

- University of California Irvine; Bachelors of Science, Civil & Environmental Engineering; 1978
- University of Southern California; Masters of Business Administration, Business; 1980
- College for Financial Planning; Certified Financial Planner Program; 2005

#### BUSINESS EXPERIENCE

- Laguna Wealth Advisors, LLC; Principle & Founder, Wealth Management Advisor; from 8/2013 to Present
- Sagent Wealth Management; Partner, Sr. Financial Advisor, Wealth Management Specialist; from 1/2010 to 8/2013
- Purshe Kaplan Sterling Investments; Branch Manager, Registered Representative; from 01/2010 to 12/2012
- Merrill Lynch, Pierce, Fenner & Smith, Inc. Vice President; from 11/1992 to 01/2010

#### Designations

- 2006: Certified Financial Planner™, CFP®

#### Certified Financial Planner™, CFP®

The CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam and agreeing to be bound by the CFP® board's *Standard of Professional Conduct*. As a prerequisite, the individual must have a Bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full-time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*.

### **Item 3 - Disciplinary Information**

John Robert LaBriola has no reportable disciplinary history.

### **Item 4 - Other Business Activities**

Outside of Laguna Wealth Advisors, LLC Mr. LaBriola writes Non-Registered insurance and annuity business with PKS Financial Services Inc. and their affiliates and receives compensation. He also acts as a 'referring agent' for Structured Settlements and he receives compensation for these referrals.

Mr. LaBriola is a 25% owner, Treasurer, and Board of Directors Member for both the Fountain Valley Skating Center and RTL Properties, Inc. These two corporations, located in Fountain Valley, California, comprise the operation of a family-owned roller-skating business, and do not cause a conflict of interest, nor subtract from Mr. LaBriola's commitment to his role at Laguna Wealth Advisors, LLC.

### **Item 5 - Additional Compensation**

John R. LaBriola does not receive any economic benefit from a non-advisory client for the provision of advisory services.

### **Item 6 – Supervision**

Mr. LaBriola is the sole owner and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.